

Audit & Standards Committee

Date: Monday, 20 July 2020

Time: 10.00 am

Venue: Microsoft Teams

Membership

John Bridgeman (Chair)

Councillor Parminder Singh Birdi

Councillor Mark Cargill

Councillor Neil Dirveiks

Councillor Bill Gifford

Councillor John Horner

Councillor David Reilly

Items on the agenda: -

1. General

(1) Apologies

(2) Members' Disclosures of Pecuniary and Non-Pecuniary Interests

Members are required to register their disclosable pecuniary interests within 28 days of their election or appointment to the Council. A member attending a meeting where a matter arises in which s/he has a disclosable pecuniary interest must (unless s/he has a dispensation):

- Declare the interest if s/he has not already registered it
- Not participate in any discussion or vote
- Must leave the meeting room until the matter has been dealt with
- Give written notice of any unregistered interest to the Monitoring Officer within 28 days of the meeting

Non-pecuniary interests must still be declared in accordance with the Code of Conduct. These should be declared at the commencement of the meeting.

(3) Minutes of the previous meeting and matters arising

To confirm the minutes of the meeting held on 7 November 2019.

- 2. Draft Annual Governance Statement 2019-20** 9 - 36
The Report is attached.
- 3. External Audit Report - Warwickshire Pension Fund Annual Audit Plan, Fee Letter, and Risk Assessment 2019/20** 37 - 88
The Report is attached.
- 4. External Auditors Report – Warwickshire County Council Annual Audit Plan, Fee Letter and Risk Assessment 2019/20** 89 - 116
The Report is attached.
- 5. External Auditors' Progress Report and Sector Update** 117 - 138
The Report is attached.
- 6. Internal Audit Plan 2020-21** 139 - 166
The Report is attached.
- 7. Internal Audit Annual Report 2019/20** 167 - 180
The Report is attached.
- 8. Annual Report of the Audit & Standards Committee** 181 - 186
The Report is attached.
- 9. LGA Consultation Member Code of Conduct** 187 - 210
The Report is attached.
- 10. Work Programme and Future Meeting Dates** 211 - 212
To consider items for the committee's work programme and future meeting dates to be held at Shire Hall, Warwick, or remotely on Microsoft Teams (dependent upon public health advice), at 10am as follows:
 - 28 September 2020
 - 5 November 2020
 - 25 March 2021
- 11. Any Other Business**
- 12. Reports Containing Confidential or Exempt Information**
To consider passing the following resolution:

'That members of the public be excluded from the meeting for the items mentioned below on the grounds that their presence would involve the disclosure of exempt information as defined in paragraph 3 of Schedule 12A of Part 1 of the Local Government Act 1972'.

13. Exempt Minutes of the Audit and Standards Committee meeting held on 7 November 2019 and Matters Arising

213 - 214

Monica Fogarty
Chief Executive
Warwickshire County Council
Shire Hall, Warwick

To download papers for this meeting scan here with your camera



Disclaimers

Webcasting and permission to be filmed

Please note that this meeting will be filmed for live broadcast on the internet and can be viewed on line at warwickshire.public-i.tv. Generally, the public gallery is not filmed, but by entering the meeting room and using the public seating area you are consenting to being filmed. All recording will be undertaken in accordance with the Council's Standing Orders.

Disclosures of Pecuniary and Non-Pecuniary Interests

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The public reports referred to are available on the Warwickshire Web

<https://democracy.warwickshire.gov.uk/uuCoverPage.aspx?bcr=1>

Public Speaking

Any member of the public who is resident or working in Warwickshire, or who is in receipt of services from the Council, may speak at the meeting for up to three minutes on any matter within the remit of the Committee. This can be in the form of a statement or a question. If you wish to speak please notify Democratic Services in writing at least two working days before the meeting. You should give your name and address and the subject upon which you wish to speak. Full details of the public speaking scheme are set out in the Council's Standing Orders.